

361

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Paul McGrane; George W. Borden,  
Michael T. Wuesthoff, James M.  
Anderson, John Teixeira, Gary J.  
Flynn, Pankaj Shah,  
George W. Borden

## Group Art Unit: 1761

~~Application No.:~~

09/845,233

Examiner:  
Not Yet Assigned

Filed:

April 30, 2001

For:

## Method For the Direct Esterification of Sorbitol With Fatty Acids

## Assistant Commissioner for Pa

P.O. Box 1450

Alexandria, VA 22313-1450

## COMMUNICATION

Dear Sir:

Pursuant to the telephonic agreement with the Examiner, enclosed are fresh copies of the PTO-1449 forms previously submitted, along with copies of all foreign references cited therein. The Examiner indicated that he could review the U.S. patents without having the patent copies.

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8**

Date: November 4, 2003

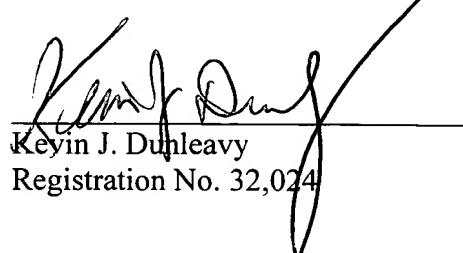
I hereby certify that this paper, along with any document or paper referred to as being attached, is being deposited with the United States Postal Service as first class mail under 37 CFR 1.8 in an envelope addressed to the Assistant Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Rebekah L. Mitchell  
Name of person mailing correspondence

Rebecca J. Mitchell  
Signature of person mailing correspondence

Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached Form PTO 1449.

Respectfully submitted,

  
\_\_\_\_\_  
Kevin J. Dunleavy  
Registration No. 32,024

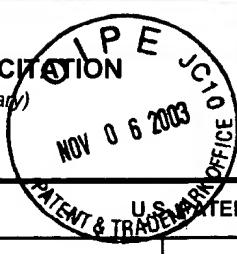
Date: November 4, 2003

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<b>INFORMATION DISCLOSURE CITATION</b> <small>(Use several sheets if necessary)</small>			ATTY DOCKET NO. <b>DANI-0005USCON</b>		SERIAL NO. <b>09/845,233</b>		
			Weusthoff, et al.				
			FILING <b>4/30/01</b>	GROUP <b>1761</b>			
<b>PATENT &amp; TRADEMARK OFFICE</b> PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	<b>4,368,213</b>	<b>1/11/83</b>	<b>Hollenbach et al.</b>			
	AB	<b>4,461,782</b>	<b>7/24/84</b>	<b>Robbins et al.</b>			
	AC	<b>4,517,360</b>	<b>5/14/85</b>	<b>Volpenhein</b>			
	AD	<b>4,883,684</b>	<b>11/28/89</b>	<b>Yang</b>			
	AE	<b>4,931,552</b>	<b>6/5/90</b>	<b>Gibson et al.</b>			
	AF	<b>4,952,687</b>	<b>8/28/90</b>	<b>Bodor et al.</b>			
	AG	<b>5,055,571</b>	<b>10/8/91</b>	<b>Van Lookeren</b>			
	AH	<b>5,130,151</b>	<b>7/14/92</b>	<b>Averbach</b>			
	AI	<b>5,194,281</b>	<b>3/16/93</b>	<b>Johnston et al.</b>			
	AJ	<b>5,211,979</b>	<b>5/18/93</b>	<b>Bruijne et al.</b>			
	AK	<b>5,239,097</b>	<b>8/24/93</b>	<b>Barkey Wolf et al.</b>			
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
	EA	<b>WO 92/04360</b>	<b>3/19/92</b>	<b>PCT</b>			<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
	EB	<b>EP 0 233 856 B1</b>	<b>1/5/94</b>	<b>Europe</b>			
	EC	<b>EP 0 233 856 A2</b>	<b>2/19/87</b>	<b>Europe</b>			
	ED	<b>EP 0 290 420 B1</b>	<b>1/12/94</b>	<b>Europe</b>			
	EE	<b>EP 0 290 420 A2</b>	<b>5/6/88</b>	<b>Europe</b>			
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>							
EXAMINER				DATE CONSIDERED			
<small>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>							

## INFORMATION DISCLOSURE CITATION

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## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AL	5,250,155	10/5/93	Zwanenburg et al.			
AM	5,306,515	4/26/94	Letton et al.			
AN	5,366,753	11/22/94	Meyer et al.			
AO	5,419,925	5/30/95	Seiden et al.			
AP	5,422,131	6/6/95	Elsen et al.			
AQ	5,472,728	12/5/95	Miller et al.			
AR	5,532,019	7/2/96	Miller et al.			
AS	5,536,524	7/16/96	Miller			
AT	5,580,966	12/3/96	Buter et al.			
AU	5,587,196	12/24/96	Mehnert et al.			
AV	5,534,284	7/9/96	Corrigan et al.			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES
EF	0 290 420 A3	5/6/88	Europe			
EG	WO 94/09637	5/11/94	PCT			
EH	WO 94/09640	5/11/94	PCT			
EI	EP 0 290 421 A3	5/6/88	Europe			
EJ	EP 0 290 421 A2	5/6/88	Europe			

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

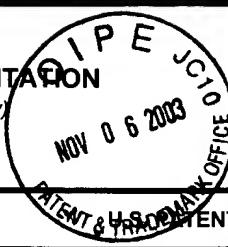

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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AW	5,648,483	7/15/97	Granberg et al.			
	AX	5,767,257	6/16/98	Schafermeyer et al.			
	AY	5,039,544	8/13/91	Lansbergen et al.			
	AZ	5,043,438	8/27/91	Buter			
	BA	5,064,677	11/21/91	Cain et al.			
	BB	5,079,355	1/7/92	Meszaros Grechke et al.			
	BC	5,080,912	1/14/92	Bodenstein et al.			
	BD	5,144,023	9/1/92	Willemse			
	BE	5,225,049	7/6/93	Barmentlo et al.			
	BF	5,231,199	7/27/93	Willemse			
	BG	5,248,509	9/28/93	Bruin			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	EK	EP 0 290 421 B1	5/6/88	Europe				
	EL	EP 0 311 154 B1	4/1/88	Euorpe				
	EM	EP 0 311 154 A2	4/1/88	Europe				
	EN	EP 0 311 154 A3	4/1/88	Europe				
	EO	EP 0 236 288 B1	2/19/87	Europe				

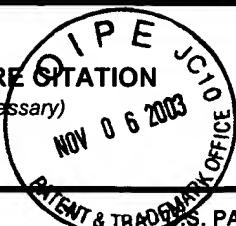
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	BH	4,382,924	5/10/83	Berling et al.			
	BI	4,518,772	5/21/85	Volpenhein			
	BJ	4,705,691	11/10/87	Kupper et al.			
	BK	4,789,664	12/6/88	Seligson et al.			
	BL	4,797,300	1/10/98	Jandacek et al.			
	BM	4,806,632	2/21/89	McCoy et al.			
	BN	4,810,516	3/7/89	Kong-Chan			
	BO	4,822,875	4/18/89	McCoy et al.			
	BP	4,835,001	5/30/89	Mijae et al.			
	BQ	4,880,657	11/14/89	Guffey et al.			
	BR	4,883,684	11/28/89	Yang			

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							YES	NO
	EP	EP 0 236 288 A2	2/19/87	Europe				
	EQ	EP 0 271 963 B1	12/16/87	Europe				
	ER	EP 0 271 963 A2	12/16/87	Europe				
	ES	EP 0 271 963 A3	12/16/87	Europe				
	ET	EP 0 375 239 B1	12/11/89	Europe				

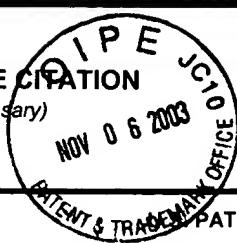
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BS		4,940,601	7/10/90	Orphanos et al.			
BT		4,942,228	7/17/98	Gibson			
BU		4,960,602	10/2/90	Talkington et al.			
BV		4,962,092	10/9/90	Wood, Jr.			
BW		5,006,360	4/9/91	Howard et al.			
BX		5,017,398	5/21/91	Jandacek et al.			
BY		5,021,256	6/4/91	Guffey et al.			
BZ		5,061,503	10/29/91	Kong-Chang et al.			
CA		5,061,504	10/29/91	Kong-Chang et al.			
CB		5,071,669	12/10/91	Seiden			
CC		5,084,295	1/28/92	Whelan et al.			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
EU		EP 0 375 239 A2	12/11/89	Europe				
EV		WO 92/04361	3/19/92	PCT				
EW		EP 0 424 066 A2	10/15/90	Europe				
EX		EP 0 424 066 A3	10/15/90	Europe				
EY		EP 0 550 526 B1	8/29/91	Europe				

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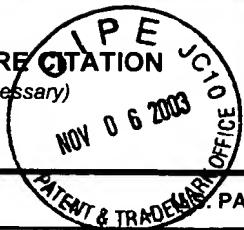

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	CD	5,102,683	4/7/92	Letton et al.			
	CE	5,124,301	6/23/92	Wyness et al.			
	CF	5,147,675	9/15/92	Gage et al.			
	CG	5,158,796	10/27/92	Bernhardt et al.			
	CH	5,236,733	8/17/93	Zimmerman et al.			
	CI	5,306,514	4/26/94	Letton et al.			
	CJ	5,306,516	4/26/94	Letton et al.			
	CK	5,308,640	5/3/94	Baer et al.			
	CL	5,527,866	6/18/96	Corrigan et al.			
	CM	5,534,284	7/9/96	Corrigan et al.			
	CN	5,767,257	6/16/98	Schafermeyer et al.			

## FOREIGN PATENT DOCUMENTS

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							YES
	EZ	WO 98/03525	1/29/98	PCT			
	FA	WO 98/03527	1/29/98	PCT			
	FB	WO 98/06731	2/19/98	PCT			
	FC	WO 98/21219	5/22/98	PCT			
	FD	EP 0 256 585 B2	7/23/87	Europe			

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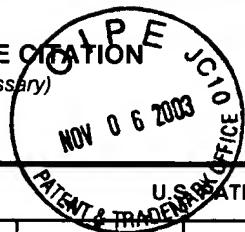

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	CO	5,314,707	5/24/94	Kester et al.			
	CP	5,318,790	6/7/94	Houston et al.			
	CQ	5,370,892	12/6/94	El-Nokaly et al.			
	CR	5,451,416	9/19/95	Johnston et al.			
	CS	5,480,667	1/2/96	Corrigan et al.			
	CT	5,491,226	2/13/96	Kenneally			
	CU	5,559,226	9/24/96	Sarama			
	CV	4,611,055	9/9/86	Yamamoto et al.			
	CW	4,334,061	6/8/82	Bossier, III			
	CX	5,504,202	4/2/96	Hutchinson			
	CY	5,474,795	12/12/95	Surber et al.			

## FOREIGN PATENT DOCUMENTS

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							YES	NO
FE	EP 0 256 585 A1	7/23/87	Europe					
FF	EP 0 350 981 B1	6/21/89	Europe					
FG	EP 0 350 986 B1	6/21/89	Europe					
FH	EP 0 350 986 B1	6/27/89	Europe					
FI	EP 0 350 986 A1	6/27/89	Europe					

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## PATENT &amp; TRADEMARK DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	CZ	5,486,372	1/23/96	Martin et al.			
	DA	5,518,754	5/12/96	Miller et al.			
	DB	5,596,085	1/21/97	Silver et al.			
	DC	5,681,948	10/28/97	Miller et al.			
	DD	5,378,478	1/3/95	Miller et al.			
	DE	5,440,027	8/8/95	Hasenhuettl			
	DF	4,973,682	11/27/90	Willemse			
	DG	4,968,791	11/6/90	Van Der Plank			
	DH	4,954,621	9/4/90	Massoka et al.			
	DI	4,973,681	11/27/90	Watanabe			
	DJ	4,877,871	10/31/89	Klemann et al.			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES
	FJ	EP 0 350 987 B1	6/27/89	Europe			
	FK	EP 0 350 987 A1	8/27/89	Europe			
	FL	EP 0 350 988 B2	6/27/89	Europe			
	FM	EP 0 350 988 A1	6/27/89	Europe			
	FN	EP 0 354 600 A2	2/15/89	Europe			

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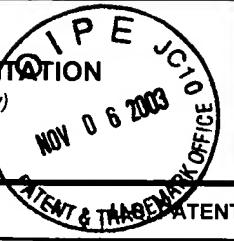
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	DK	<b>5,527,866</b>	<b>6/18/96</b>	Corrigan et al.				
	DL	<b>5,085,884</b>	<b>2/4/92</b>	Young et al.				
	DM	<b>4,705,690</b>	<b>11/10/87</b>	Brand et al.				
	DN	<b>4,919,964</b>	<b>4/24/90</b>	Adams et al.				
<b>FOREIGN PATENT DOCUMENTS</b>								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
FO	EP 0 354 600 A3	2/15/89	Europe					
FP	EP 0 387 876 A2	12/14/89	Europe					
FQ	EP 0 378 876 A3	12/14/89	Europe					
FR	EP 0 379 747 A2	12/7/89	Europe					
FS	EP 0 379 747 A3	12/7/89	Europe					
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 <b>PATENT &amp; TRADEMARK OFFICE</b> <b>NOV 06 2003</b> <b>JC10</b>						
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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<b>FOREIGN PATENT DOCUMENTS</b>						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
GD	EP 0256 585 B2	7/23/87	Europe			YES
GE	EP 0 384 508 B1	2/6/90	Europe			NO
GF	EP 0 378 876 B1	12/14/89	Europe			
GG	EP 0 354 600 B1	2/15/89	Europe			
GH	EP 0 379 747 B1	12/7/89	Europe			
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>						
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.		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
GI		<b>EP 0 383 380 B1</b>	<b>2/8/90</b>	<b>Europe</b>			YES	NO
GJ		<b>EP 0 398 409 A2</b>	<b>5/3/90</b>	<b>Europe</b>				
GK		<b>EP 0 398 409 B1</b>	<b>3/5/90</b>	<b>Europe</b>				
GL		<b>EP 0 424 066 B1</b>	<b>10/15/90</b>	<b>Europe</b>				
GM		<b>EP 0 548 272 B1</b>	<b>8/3/91</b>	<b>Europe</b>				
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			Weusthoff, et al.					
			FILING 4/30/01	GROUP 1761				
PATENT & TRADEMARK OFFICE PATENT DOCUMENTS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS		
						FILING DATE IF APPROPRIATE		
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
	GN	EP 0 666 713 B2	10/21/93	Europe			<input type="checkbox"/> YES	<input type="checkbox"/> NO
	GO	KR 9108734	10/19/91	Korea *No abstract available			<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO
	GP	KR 9210520	12/4/92	Korea *Abstract enclosed			<input type="checkbox"/> YES	<input type="checkbox"/> NO
	GQ	KR 9303497	5/1/93	Korea *Abstract enclosed			<input type="checkbox"/> YES	<input type="checkbox"/> NO
	GR	CA 2006132	12/20/89	Canada *Abstract enclosed			<input type="checkbox"/> YES	<input type="checkbox"/> NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)								
EXAMINER				DATE CONSIDERED				
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